

IFW

Docket No.
405507/0013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Noboru Asauchi, et al.

Group Art Unit: 2853

Application No.: 10/620,872

Examiner: Geoffrey S. Mruk

Filed: July 16, 2003

For: CARTRIDGE AND PRINTING APPARATUS

Date: January 13, 2005

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

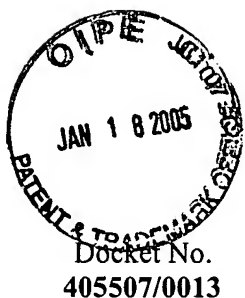
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement by Applicant form (PTO/SB/08A and/or B, PTO-1449, or equivalent).

The following checked sections apply to this Information Disclosure Statement:

- ☒ In accordance with 37 C.F.R. § 1.98(a)(2)(ii) as revised at 69 Fed. Reg. 56481 (September 21, 2004), copies of the cited U.S. patent application publication(s) and/or U.S. patent(s) have not been submitted.
- ☒ The cited reference(s) were called to Applicant'(s) attention in a counterpart foreign patent application. A copy of the official communication in that counterpart foreign patent application citing the reference(s) is submitted herewith, and the Examiner is respectfully directed thereto for a concise explanation of the relevance of the cited art.
- ☒ At least some of the cited references are not (or the cited reference is not) in English, and so English abstracts of those references (that reference) are provided, if available.
- ☒ At least some of the cited references are not (or the cited reference is not) in English, and so machine English translations of those references (that reference) are provided, where available.
- ☐ This Information Disclosure Statement is being filed (1) within three months of the filing date of a national application other than a continued prosecution application under Sec. 1.53(d), (2) within three months of the date of entry of the national stage as set forth in Sec. 1.491 in an international application, (3) before the mailing of a first Office action on the merits, or (4) before the mailing of a first Office action after the filing of a Request



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Noboru Asauchi, et al.**

Group Art Unit: **2853**

Application No.: **10/620,872**

Examiner: **Geoffrey S. Mruk**

Filed: **July 16, 2003**

For: **CARTRIDGE AND PRINTING APPARATUS**

Date: **January 13, 2005**

CERTIFICATE OF MAILING
BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)

Mail Stop Amendment

Commissioner for Patents

PO Box 1450

Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

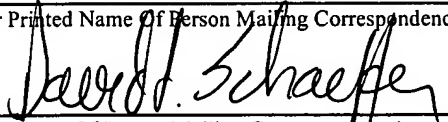
**Return Postcard; Supplemental Information Disclosure Statement by Applicant (3 pgs);
PTO-1449 (1 pg); and 14 References and Search Report**

is being deposited on **January 13, 2005** with the United States Postal Service
as first class mail in an envelope bearing sufficient postage thereon and addressed to:

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450.

David L. Schaeffer

(Typed Or Printed Name Of Person Mailing Correspondence)


(Signature Of Person Mailing Correspondence)

for Continued Examination under 37 C.F.R. § 1.114. Accordingly, neither a fee nor Statement under 37 C.F.R. § 1.97(e) is due.

☒ This Information Disclosure Statement is being filed before the mailing date of any of a final action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application, and so is accompanied by one of:

(1) A Statement under 37 C.F.R. § 1.97(e), which follows; or

(2) The fee set forth in 37 C.F.R. § 1.17(p), authorization for which follows.

☐ This Information Disclosure Statement is being filed before on or before payment of the issue fee and is accompanied by:

(1) A Statement under 37 C.F.R. § 1.97(e), which follows; and

(2) The fee set forth in 37 C.F.R. § 1.17(p), authorization for which follows.

☐ Fee Under 37 C.F.R. § 1.17(p)

The Commissioner is authorized to charge the fee under 37 C.F.R. § 1.17(p) (\$180) to Deposit Account No. 19-4709.

☒ Statement Under 37 C.F.R. § 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or

No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in Sec. 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☒ Additional Comments

Applicant(s) have the following additional comments:

The following references cited in the Search Report in counterpart EP appln. no. 03 015 624.4 already are of record in this application and so have not been cited again: US 2002/75340; EP 683 052; EP 749 840; EP 1 164 024; EP 1 177 904; JP 2-196663; and JP 8-164615.

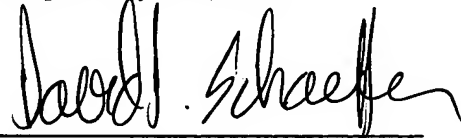
U.S. Patent Appln. No. 10/620,872
Information Disclosure Statement
Filed January 13, 2005

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the accompanying Information Disclosure Statement by Applicant form (PTO/SB/08A and/or B, PTO-1449, or equivalent) be returned to the undersigned indicating that such information has been considered.

Other than any fees mentioned above, no fees are believed to be due in connection with this Information Disclosure Statement. Nevertheless, the Commissioner is authorized to charge any fees which are deemed to be now or hereafter due in connection with this paper to Deposit Account No. 19-4709.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please contact Applicant'(s) attorney at the number listed below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David L. Schaeffer", written over a horizontal line.

David L. Schaeffer
Reg. No. 32,716
Attorney for Applicant(s)
Stroock & Stroock & Lavan, LLP
180 Maiden Lane
New York, New York 10038
(212) 806-5400

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT
(Use several sheets if necessary)

JAN 18 2005

PATENT DOCKET NO.
405507/0013APPLICATION NO.
10/620,872

APPLICANT

Noboru Asauchi, et al.

FILING DATE
July 16, 2003GROUP
2853

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,639,738	01-27-1987	Young, et al.			
	6,012,794	01-11-2000	Nakano, et al.			
	6,044,694	04-04-2000	Anderson, et al.			
	6,312,106 B1	11-06-2001	Walker			
	6,325,354 B1	12-04-2001	Hoen, et al.			
	2001/0050017 A1	12-13-2001	Ohsawa, et al.			
	2002/0012016 A1	01-31-2002	Wilson, et al.			
	2002/0105555 A1	08-08-2002	Tsukada, et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
0 668 165 A2, A3	08-23-1995	EP			English Original
0 840 098 A2, A3	05-06-1998	EP			English Original
98/52762 A2, A3	11-26-1998	WIPO			English Original
1 053 877 A1	11-22-2000	EP			English Original
1 060 895 A1	12-20-2000	EP			English Original
1 066 967 A2, A3	01-10-2001	EP			English Original
1 114 726 A1	07-11-2001	EP			English Original

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

Search Report from corresponding European Patent Application 03 015 624.3,
mailed November 15, 2004

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

